

Enhancing Local Planning-Budgeting Link Through Social Accountability
GTZ, ASoG, ANSA-EAP
14-16 April 2009, Ormoc, Leyte

Draft design

Workshop objectives

The proposed workshop aims to impress upon the participants the value of social accountability in enhancing the link of local planning and budgeting processes towards improved development and governance outcomes. It shall have the following specific objectives:

1. Situate the social accountability framework in the Barugo LGU's development and project contexts;
2. Deepen appreciation of how social accountability can help the Barugo LGU address such contexts more effectively;
3. Share existing good practices in mainstreaming social accountability in LGU planning, budgeting and project implementation processes;
4. Facilitate a planning process to identify specific needs and actions toward mainstreaming social accountability.

Activities	Learning objectives	Key learning contents	Learning methods	Learning outputs	Resource person/PICs
Day 1, 14 April (Tuesday)					
8:00 – 8:30 Preliminaries	<ul style="list-style-type: none"> ▪ To create a learning environment marked by collaboration and shared learning among the workshop participants ▪ To promote more open and comfortable learning interactions based on deeper familiarity of the participants with each other ▪ To set a learning program based on the articulated expectations of the workshop participants ▪ To create a shared understanding of the learning objectives and workshop flow/design 	<ul style="list-style-type: none"> ▪ Background on capacity building engagement (GTZ, ASoG, ANSA-EAP) ▪ Workshop objectives and workshop map 	<ul style="list-style-type: none"> ▪ Getting-to-know-you and expectations check ▪ Presentations and plenary discussion 	<ul style="list-style-type: none"> ▪ Participants' learning expectations ▪ Validated workshop flow/design 	ASoG GTZ Project Team ANSA-EAP

Activities	Learning objectives	Key learning contents	Learning methods	Learning outputs	Resource person/PICs
8:30 – 10:00 WORKSHOP 1: Review of pilot project on harmonization of local planning and budgeting	<ul style="list-style-type: none"> ▪ To create a shared understanding of the rationale and objectives of the pilot project on harmonization among the participants ▪ To establish shared appreciation of critical events in the design and implementation of the pilot harmonization project ▪ To draw out lessons and insights on links between harmonization, SAc, and good governance 	<ul style="list-style-type: none"> ▪ Rationale and objectives of the project on harmonizing local planning and budgeting ▪ Harmonization pilot project life line: where is the Barugo LGU now? ▪ Harmonization project and its contribution to improving governance/development outcomes in Barugo ▪ Harmonization initiative and its relationship to SAc 	<ul style="list-style-type: none"> ▪ Small group activity and discussions: constructing harmonization project life line, locating Barugo LGU, identifying insights on how harmonization links with SAc and LGU vision, next steps for the pilot project ▪ Presentations and plenary discussion 	<ul style="list-style-type: none"> ▪ Participants' views on the harmonization process and pilot project initiative ▪ Participants' views on harmonization and role of SAc ▪ Identified next steps for the pilot project 	ASoG GTZ Project Team ANSA-EAP
10:00 – 10:15 BREAK					
10:15 – 12:00 INPUT 1: Harmonization of Local Planning and Budgeting Towards Improving Local Governance	<ul style="list-style-type: none"> ▪ To establish shared appreciation of NGAs' views and roles vis-à-vis the rationale, objectives, and salient provisions of the Joint Memorandum Circular (JMC) on harmonization of local planning and budgeting ▪ To create awareness of NGAs' views on how SAc initiatives can contribute to harmonization and good governance efforts ▪ To create shared understanding of the rationale, objectives, and design of the pilot project being implemented in Barugo 	<ul style="list-style-type: none"> ▪ Rationale, objectives, and main provisions of the JMC on harmonization of local planning and budgeting ▪ Harmonization and its contributions to improving good governance and development outcomes ▪ Pilot harmonization project and its contributions to Barugo LGU in attaining its vision and development goals/objectives 	<ul style="list-style-type: none"> ▪ Presentation from NGAs on the JMC, and their insights on the following: <ul style="list-style-type: none"> - how harmonization can contribute to improving governance (in Barugo) - how will harmonization impact on local: <ol style="list-style-type: none"> a) planning b) budgeting c) expenditure mgmt. d) performance mgmt. - what is the NGA's role in the harmonization? how can NGA help improve governance practice? - how harmonization can be enhanced with SAc ▪ Open forum 	<ul style="list-style-type: none"> ▪ Identified changes in systems, procedures, structures, practices on local planning and budgeting resulting from the JMC ▪ Clarified views of main pilot project stakeholders on how harmonization and SAc relate toward good governance 	NGA representatives

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12:00 – 1:00 LUNCH					
1:00 – 2:30 WORKSHOP 2: Reflecting on existing LGU practices and identifying areas for change	<ul style="list-style-type: none"> ▪ To facilitate internalization of the lessons and insights from the previous sessions by analyzing gaps between the requirements of the harmonization process and the existing practices of the LGU ▪ To produce an initial operational knowledge of local harmonization which includes identified areas for LGU reform that comprehensively address local needs ▪ To establish deeper appreciation of the links between the JMC, the pilot project on harmonization, good governance, and Barugo's development goals ▪ To create shared appreciation of the role of SAc initiatives in the local harmonization process and Barugo's reform agenda 	<ul style="list-style-type: none"> ▪ Good governance vision and goals of the harmonization process and of Barugo ▪ Key provisions of the JMC in relation to the phases of the public financial management (PFM) cycle ▪ Existing LGU practices and systems in main areas of the PFM cycle ▪ Key areas for reform or change in existing LGU structures, systems, and processes with regards to the PFM cycle ▪ Links between the JMC on harmonization, the pilot project, SAc vis-à-vis the Barugo LGU's vision for good governance 	<ul style="list-style-type: none"> ▪ Small group discussions ▪ Presentations of group outputs, emerging points, and plenary discussion 	<ul style="list-style-type: none"> ▪ Identified gaps between existing LGU systems and practices and JMC-prescribed systems and processes ▪ Clarified role or contribution of SAc actions and initiatives in the harmonization process of the LGU 	ASoG GTZ Project Team ANSA-EAP
2:30 – 3:00 SYNTHESIS: Framework for situating SAc in the harmonization process	<ul style="list-style-type: none"> ▪ To set a working framework for integrating the emerging lessons and insights from the pilot project, particularly on how harmonization and SAc contribute to improving governance practices in Barugo ▪ To establish a broader/deeper appreciation of the value of the harmonization process beyond the JMC towards Barugo's vision for good governance 	<ul style="list-style-type: none"> ▪ Value of harmonization in terms of achieving good governance and improved development outcomes in the Barugo LGU ▪ Link between harmonization and SAc initiatives in terms of improving governance in Barugo, Leyte ▪ How SAc can help address the identified gaps in making governance more effective in Barugo 	<ul style="list-style-type: none"> ▪ Powerpoint presentation and inputs ▪ Plenary discussion 	<ul style="list-style-type: none"> ▪ Emerging lessons and insights on the nature of the JMC-prescribed process and systems for harmonizing local plans and budgets ▪ Working framework on harmonization, SAc, and good governance 	ANSA-EAP

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3:00 – 3:15 BREAK					
3:15 – 4:45 INPUT 2: Social accountability concepts and pillars	<ul style="list-style-type: none"> To draw out basic conceptual handles and key principles from an SAc initiative or experience To establish deeper appreciation of the importance of SAc in the current project on harmonization and the role of sustained citizen engagement within the public financial management (PFM) cycle/process To establish individual and LGU buy-in to promote SAc, and thus create basis for discussing next topics or sessions 	<ul style="list-style-type: none"> Key processes, results, and insights from a good practice in social accountability SAc framework and key concepts: good governance principles (transparency, accountability, participation), definition of SAc, value, key players and roles, four SAc pillars, SAc “building blocks” (rights to voice, association, and negotiation), key steps SAc in practice: how has SAc helped improve local development, governance, and project outcomes 	<ul style="list-style-type: none"> Audio-visual or case presentation on an SAc initiative/action (involving an LGU and/or in line with Barugo’s selected project for the learning exercise) Plenary activity/discussion to draw out SAc concepts and principles from the presented SAc case Open forum 	<ul style="list-style-type: none"> Conceptual anchors in strategizing for SAc mainstreaming in the LGU Exemplars in doing SAc at the LGU level 	ANSA-EAP Invited LGU/CSO speakers
4:45 – 5:00 Learning management	<ul style="list-style-type: none"> To solicit immediate feedback from the participants about workshop content and flow To discuss and settle other learning and administrative concerns for the workshop 	<ul style="list-style-type: none"> Learning evaluation 	<ul style="list-style-type: none"> Administration of daily feedback forms Plenary discussion 	<ul style="list-style-type: none"> Accomplished daily feedback forms Recommendations for improving next sessions 	ANSA-EAP
Day 2, 15 April (Wednesday)					
8:00 – 8:30 Recapitulation	<ul style="list-style-type: none"> To facilitate internalization of the key learning points from previous workshop sessions and activities To promote better understanding of previous sessions by surfacing and addressing issues 	<ul style="list-style-type: none"> Key lessons and insights from previous day’s inputs and learning activities Learning issues and points for further discussion 	<ul style="list-style-type: none"> Interactive activity Plenary discussion 	<ul style="list-style-type: none"> Reiteration of key lessons/insights from previous sessions 	ASoG GTZ Project Team

Activities	Learning objectives	Key learning contents	Learning methods	Learning outputs	Resource person/PICs
<p>8:30 – 10:00 WORKSHOP 3: Reflecting on SA's value and identifying spheres or areas for SAc action</p>	<ul style="list-style-type: none"> ▪ To promote practical appreciation of key lessons and insights from the sessions on the role of SAc vis-à-vis harmonization and good governance goals ▪ To facilitate internalization of the value of SAc by grounding it on the individual and organizational contexts of the participants ▪ To improve local knowledge in integrating SAc into current good governance efforts of the Barugo LGU by identifying possible SAc mechanisms, objectives, intended outcomes, roles for stakeholders 	<ul style="list-style-type: none"> ▪ Importance of SAc in terms of improving governance practices and development outcomes at the local level ▪ Requirements for building and sustaining commitment to SAc initiatives/actions ▪ Possible spheres or areas for incorporating SAc actions or mechanisms in Barugo's governance systems and practices 	<ul style="list-style-type: none"> ▪ Guided small group discussions: <ul style="list-style-type: none"> - areas (specific program or project) where citizen engagement is needed to improve governance - what are the perceived objectives for citizen engagement? - what are the current entitlements or rights that could be bases for proposed engagement? - what is the process or system currently being used by the LGU? - what are the indicators for success? - possible benefits and risks from the proposed SAc mechanisms - roles of stakeholders in mainstreaming each SAc action/initiative - time frame for design and implementation ▪ Plenary reporting and discussions 	<ul style="list-style-type: none"> ▪ Clarified stakes of individuals and organizations (LGU, CSOs, NGAs in Barugo) in SAc ▪ Identified spheres for SAc actions as part of the Barugo LGU's reform agenda (SAc action plan) 	<p>ASoG GTZ Project Team ANSA-EAP</p>
<p>10:00 – 10:15 BREAK</p>					
<p>10:15 – 12:00 INPUT 3: Scanning the LGU's social accountability environment based on the four pillars</p>	<ul style="list-style-type: none"> ▪ To develop initial competence in assessing the SAc environment by reviewing and validating the results and analysis from the initial SAc scanning in Barugo 	<ul style="list-style-type: none"> ▪ Assessing the environment based on the four pillars of SAc: importance of enabling environment, organized and capable citizens, access to 	<ul style="list-style-type: none"> ▪ Powerpoint presentation and input ▪ Short open forum for clarifying points on the assessment framework 	<ul style="list-style-type: none"> ▪ Validated framework for assessing the SAc environment ▪ Analytical handles for looking at the current 	<p>Mr. Adel Briones ANSA-EAP</p>

Activities	Learning objectives	Key learning contents	Learning methods	Learning outputs	Resource person/PICs
	<ul style="list-style-type: none"> ▪ To deepen appreciation of the four SAc pillars in framing the assessment and analysis of the local SAc environment ▪ To create LGU ownership of the needs, problems and challenges identified in the SAc environment ▪ To draw out lessons and insights from the LGU's experiences in promoting citizen engagement in local planning, budgeting, and implementation of projects 	<p>information, and cultural appropriateness</p> <ul style="list-style-type: none"> ▪ Brief background on the SAc environment assessment tool and method ▪ Findings and analysis from the preliminary survey on the Barugo SAc environment ▪ Key accomplishments and major challenges for citizen engagement in Barugo 	<p>and process</p> <ul style="list-style-type: none"> ▪ Small group discussion: <ul style="list-style-type: none"> - identified spheres for SAc action/intervention - what are the gaps for each sphere in terms of the four SAc pillars - what need to be done to address the gaps ▪ Plenary sharing and discussions 	<p>situation in Barugo in terms of the citizens' engagement in local governance</p> <ul style="list-style-type: none"> ▪ Identified gaps in mainstreaming SAc and responses for the Barugo LGU 	
<p>12:00 – 1:00 LUNCH</p>					
<p>1:00 – 3:00 INPUT 4: Adapting and implementing social accountability tools and approaches in local water service provision</p>	<ul style="list-style-type: none"> ▪ To develop initial competence in designing and undertaking SAc strategies by building on the Barugo LGU's experiences in implementing local development projects and how it was able to incorporate citizen engagement ▪ To build knowledge in promoting citizens' monitoring of the LGU's performance by sharing LGUs' and citizen groups' experiences ▪ To create understanding on the use of SAc tools specially those related to LGU implementation of local water projects (e.g., Water Watch: involving citizens' report card, performance audits, and grievance mechanism on water) 	<ul style="list-style-type: none"> ▪ Key features or elements of an effective SAc strategy for monitoring the LGU's water service provision ▪ Issues and challenges in effective citizen engagement for improved water service project outcomes ▪ Mainstreaming SAc in the local water sector and water-related local projects 	<ul style="list-style-type: none"> ▪ Presentation by Barugo LGU on key milestones and outcomes of water provision project ▪ Presentation and inputs by resource person from Government Watch ▪ Plenary discussion ▪ Small group exercise: how the Barugo LGU's water project could have integrated SAc systems? ▪ Plenary presentation and processing of insights 	<ul style="list-style-type: none"> ▪ Clarified framework for situating SAc in LGU project context ▪ Key elements for a focused project-based SAc strategy in Barugo 	<p>Resource person from Government Watch</p>
<p>3:00 – 3:15 BREAK</p>					

Activities	Learning objectives	Key learning contents	Learning methods	Learning outputs	Resource person/PICs
3:15 – 4:45 WORKSHOP 4: Designing and implementing an LGU's SAc strategy	<ul style="list-style-type: none"> ▪ To create basic competence in building and customizing an SAc strategy by clarifying key steps and processes ▪ To build knowledge in attaining better fit between the SAc action and the local context by outlining key parameters and guidelines ▪ To establish understanding on designing an SAc program or integrating SAc practices or systems in existing programs 	<ul style="list-style-type: none"> ▪ LGUs and the local SAc environment: strategies for mainstreaming active citizen engagement in governance ▪ Process or steps in building and customizing an effective SAc strategy, considerations in choosing, developing and refining SAc tool, dovetailing SAc tools in various program components ▪ Communications strategy, capacity building activities, monitoring and evaluating SAc development impacts, generating resources 	<ul style="list-style-type: none"> ▪ Presentation and inputs by facilitators/resource persons on SAc steps ▪ Guided small group discussions on the key steps of implementing an SAc action or initiative: <ul style="list-style-type: none"> - identifying specific entry point/issue - building information or evidence base - going public - rallying support and building coalitions - advocating and negotiating change ▪ Plenary sharing ▪ Synthesis by facilitator 	<ul style="list-style-type: none"> ▪ Options for LGUs in mainstreaming SAc based on a given SAc environment ▪ Key steps in carrying out an SAc initiative ▪ Guidelines and pointers for planning an SAc intervention ▪ Guidelines for developing and adapting SAc tools 	ANSA-EAP
4:45 – 5:00 Learning management	<ul style="list-style-type: none"> ▪ To solicit immediate feedback from the participants about workshop content and flow ▪ To discuss and settle other learning and administrative concerns for the workshop 	<ul style="list-style-type: none"> ▪ Learning evaluation 	<ul style="list-style-type: none"> ▪ Administration of daily feedback forms ▪ Plenary discussion 	<ul style="list-style-type: none"> ▪ Accomplished daily feedback forms ▪ Recommendations for improving next sessions 	ANSA-EAP
Day 3, 16 April (Thursday)					
8:00 – 8:30 Recapitulation	<ul style="list-style-type: none"> ▪ To facilitate internalization of the key learning points from previous workshop sessions and activities ▪ To promote better understanding of previous sessions by surfacing and addressing issues 	<ul style="list-style-type: none"> ▪ Key lessons and insights from previous day's inputs and learning activities ▪ Learning issues and points for further discussion 	<ul style="list-style-type: none"> ▪ Interactive activity ▪ Plenary discussion 	<ul style="list-style-type: none"> ▪ Reiteration of key lessons/insights from previous sessions 	ASoG GTZ Project Team

Activities	Learning objectives	Key learning contents	Learning methods	Learning outputs	Resource person/PICs
8:30 – 10:00 Continuation of Workshop 4: Designing and implementing an LGU's SAc strategy					
10:00 – 10:15 BREAK					
10:15 – 11:30 SYNTHESIS: Sustaining SAc commitments for harmonized LGU planning and budgeting processes, and improved local development and governance outcomes	<ul style="list-style-type: none"> ▪ To promote internalization of key lessons on harmonization and sustained citizen engagement by reviewing key workshop milestones and outputs/outcomes ▪ To strengthen appreciation and commitment to the reform agenda and plans of the Barugo LGU 	<ul style="list-style-type: none"> ▪ Workshop map and matrix of learning objectives, outputs, and outcomes ▪ Framework on good governance, harmonization of LGU plans and budget, and SAc ▪ Sustaining SAc efforts and the role of LGUs 	<ul style="list-style-type: none"> ▪ Powerpoint presentation from facilitators ▪ Open discussion and sharing of insights ▪ Additional comments and inputs from the resource persons from NGAs 	<ul style="list-style-type: none"> ▪ Summary of key learning points and outputs from the workshop ▪ Clarified action points from the workshop discussions 	ANSA-EAP Mr. Adel Briones
11:30 – 11:45 Learning management	<ul style="list-style-type: none"> ▪ To solicit immediate feedback from the participants about workshop content and flow ▪ To discuss and settle other learning and administrative concerns for the workshop 	<ul style="list-style-type: none"> ▪ Learning evaluation 	<ul style="list-style-type: none"> ▪ Administration of final feedback forms ▪ Plenary discussion 	<ul style="list-style-type: none"> ▪ Accomplished final feedback forms ▪ Recommendations for improving next learning events 	ANSA-EAP
11:45 – 12:00 Closing	<ul style="list-style-type: none"> ▪ To formally close the workshop and learning process 	<ul style="list-style-type: none"> ▪ Action points for next learning activities 	<ul style="list-style-type: none"> ▪ Closing remarks and observations 	<ul style="list-style-type: none"> ▪ Additional insights and observations from the workshop ▪ Clarified roles for next learning event 	ASoG GTZ Project Team GTZ, Barugo LGU NGA Resource Persons ANSA-EAP